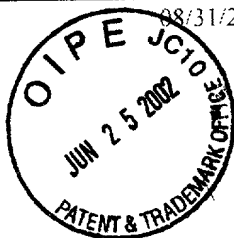




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APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/944,062	08/31/2001	Shuang Chen	IIC 6



Shuang Chen
208 Briarwood Drive
Somers, NY 10589

CONFIRMATION NO. 8362

FORMALITIES LETTER



OC000000007247043

Date Mailed: 12/31/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/01/2002 MARZIT

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$18256.
 - \$11826 for 657 total claims over 20.
 - \$6160 for 77 independent claims over 3 .
 - \$270 for multiple dependent claim surcharge.
- The signature of the following inventor(s) is missing from the oath or declaration:
Charles Pace, Mark Bobick, Darin DeFrost, Paolo R. Pizzorni, William B. Rubin
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 19096.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment.

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
 - Drawings must be reasonably free from erasures and must be free from alterations, overwritings, interlineations, folds, and copy marks

- An Abstract not to exceed 150 words in length, commencing on a separate sheet (37 CFR 1.72(b)).

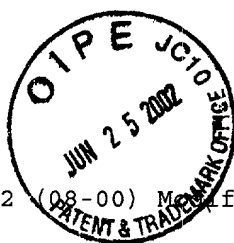
*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

2025-01-27 10:44:50



PTO/ SB92 (08-00) Modified

Certificate of Mailing under 37 CFR 1.8

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Assistant Commissioner for Patents
Washington, D. C. 20231

on June 25, 2002,
Date


Signature

Shuang Chen

Typed or printed name of person of signing Certificate

Note: This certificate identifies each submitted paper as follows:

Fee Transmittal Form for FY 2002 (filing fee, claim fee, late filing fee, assignment recordation fee, & EOT)

Transmittal Form

Credit Card Payment Form

Change of Correspondence Address Application

Statement Under 37 CFR 3.73(b)

Petition For Extension of Time

Signed Declarations, 24 pages

Recordation Form Cover Sheet (2 copies)

Signed Assignments, 12 pages, 2 copies

Preliminary Amendment, 40 pages

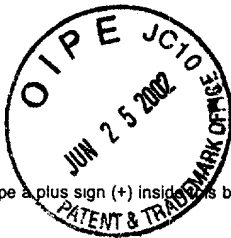
Attachments to preliminary amendment

Formal/Substitute Drawings (94 Sheets)

Copy of "Notice to File Missing Parts"

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/944,062	
	Filing Date	08/31/2001	
	First Named Inventor	Chen	
	Group Art Unit	2133	
	Examiner Name	To be assigned	
Total Number of Pages in This Submission	2	Attorney Docket Number	ITC 6

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached, <i>credit card payment form</i> <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input checked="" type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Assignment Papers (for an Application) <input checked="" type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <i>See attached Sheet #2</i>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Shuang Chen, CTO and Chairman, Op 40, Inc.		
Signature	<i>[Signature]</i>		
Date	6/20/2002		

CERTIFICATE OF MAILING

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